



**GLOBAL
INDUSTRIES
INTELLIGENCE**



MANAGING COMPLIANCE TEAMS MASTERCLASS

5TH - 9TH AUG, 2024

NOVOTEL HOTEL AL BARSHA, DUBAI

Overview

We offer a full and comprehensive program that integrates international compliance standards with critical areas of compliance management. Supporting compliance teams providing quality and precision management is critical to the compliance function.

The course welcomes participants from all industries.

With a thorough and engaging approach, the training supports managers, compliance officers and other aspiring compliance management team leaders to standards of excellence.

The training has practical and permanent 'take-away' value and for use in the organization.

Learning Outcomes

By the end of this course, you will be able to:

- Distinguish and meet key challenges in compliance scenarios affecting your organisation
- Gain new skills in compliance-dominant legislation at management level
- Building and supporting compliance teams
- Implement revitalised compliance plans and policies
- Ensure quality and cohesiveness across your compliance teams

Benefits of attending

1. Provide you with new management skills
2. Skills and practice in predicting industry change or influences brought by compliance issues
3. Developing excellence in strategic compliance management

Method of Delivery

- The course takes a thorough and practical delivery approach. We will use presentations, skills, interactive group activities, multi-directional discussions and case studies

Target Group

- Current managers, aspiring managers, team leaders, compliance consultants, internal auditors

Program Outline

Day 1

Session 1. . 'Compliance in Action'

- The upsurge in the regulation of institutions. Case Studies.
- Compliance Management; 'awareness v thoroughness' in explaining risk
- Internal controls and compliance. Distinctions
- Examining the effectiveness of methods of measurement
- **Group activities and case study**

Session 2. The Compliance Manager

- Critical skills in strategic management
- Operations management and compliance
- Arbitration

Session 3. Roles and Compliance Responsibilities: a new take!

- 'Designing out' pitfalls in compliance management
- Efficiency (in compliance and client management)
- **Group activities and case study**

Day 2

Session 1. Legal

- Critical skills in assessing the impacts of 'compliance-dominant' legislation
- A management perspective
- Legislation: forthcoming changes which will directly affect business and customer risk
- **Group activities and case study**

Session 2. . Risk:

- Assessing the impact and downside of non-compliance
- Where to start?
- When to stop?
- **Group activities and case study**

Session 3. Reporting to the Board

- How does culture affect compliance?
- Negotiation skills



Day 3

Session 1. Money Laundering, Terrorism Financing. Advanced Compliance Concepts

- Common crimes underpinning money laundering
 - The 'criminal journey' – from crime to money laundering and its varying criminal result
 - AML in the space of sophisticated investment
 - Sure signs of terrorism funding
- Group activities and case study**

Session 2. Risk Appraisal 'Going Digital'

- Scoping risk in Crypto currencies
- Compliance process modernization.

Session 3. Managing Reporting Protocols

- Advising staff on STRs
- Delegation

Day 4

Session 1. Enhanced Professional Communication Skills

- Managing 'difficult conversations' in compliance and risk issues
- Advanced Report Writing Skills - the 'IDEA' method

Session 2. Governance: applied to the day-to-day operation

- Avoiding 'pseudo-stability'
- Problem solving in compliance scenarios
- Decision making in situational compliance tasks
- About delegation

Case study. Presentations

Day 5

Group Interactions and Exercises

- Assessed Group Exercises
- Team Presentations

Final Plenary Session



TRAINER'S PROFILE

IAN ROSS

PHD, MSC, DIP FORENSIC PSYCHOL, ACFS, FCMI



An internationally established financial crimes expert with 40 years of experience. (Former UK police officer)

Professor of international law. Investigative psychologist providing forensic case reviews supporting the courts addressing decision-making and complex evidence analysis in fraud, corruption and money laundering investigations. Supporting regulators, law enforcement.

Lecturer in International Criminal Law and investigative psychology.

Established international trainer: Delivered over 1000 hours of professional training.

AFFILIATIONS / ACCREDITATIONS

- **'Listed expert': Cour pénale internationale** (International Criminal Court ('ICC') The Hague.
- **Expert Panel Member: Inspectorate of Prisons (Ireland)**
- **Peer Reviewer, Journal of Investigative Psychology and Offender Profiling**
- **Accredited Counter Fraud Specialist (ACFS)**

MANAGING COMPLIANCE TEAMS MASTERCLASS

5th - 9th August, 2024

Novotel Hotel Al Barsha, Dubai

FOR REGISTRATION PLEASE COMPLETE THIS FORM AND EMAIL BACK TO

MUHAMMAD SAAD

EMAIL: SAAD@GIINTELLIGENCE.COM | TEL : +44 20 4525 8028

REGISTRATION DETAILS

NAME

JOB TITLE

MOBILE NUMBER

EMAIL

NAME

JOB TITLE

MOBILE NUMBER

EMAIL

NAME

JOB TITLE

MOBILE NUMBER

EMAIL

ORGANISATION

ADDRESS

TOWN STATE

COUNTRY POSTAL CODE

PHONE FAX

NATURE OF BUSINESS

REGISTRATION FEE:

Book & Pay till 13 June, 2024 @GBP 3995/Person

Book & Pay after 13 June, 2024 @GBP 4595/person

AUTHORIZATION:

Signature _____ Date _____

Name _____ Position _____

THIS BOOKING IS INVALID WITHOUT A SIGNATURE.

AUTHORIZATION SIGNATORY MUST BE AUTHORIZED TO SIGN ON BEHALF OF CONTRACTING ORGANISATION